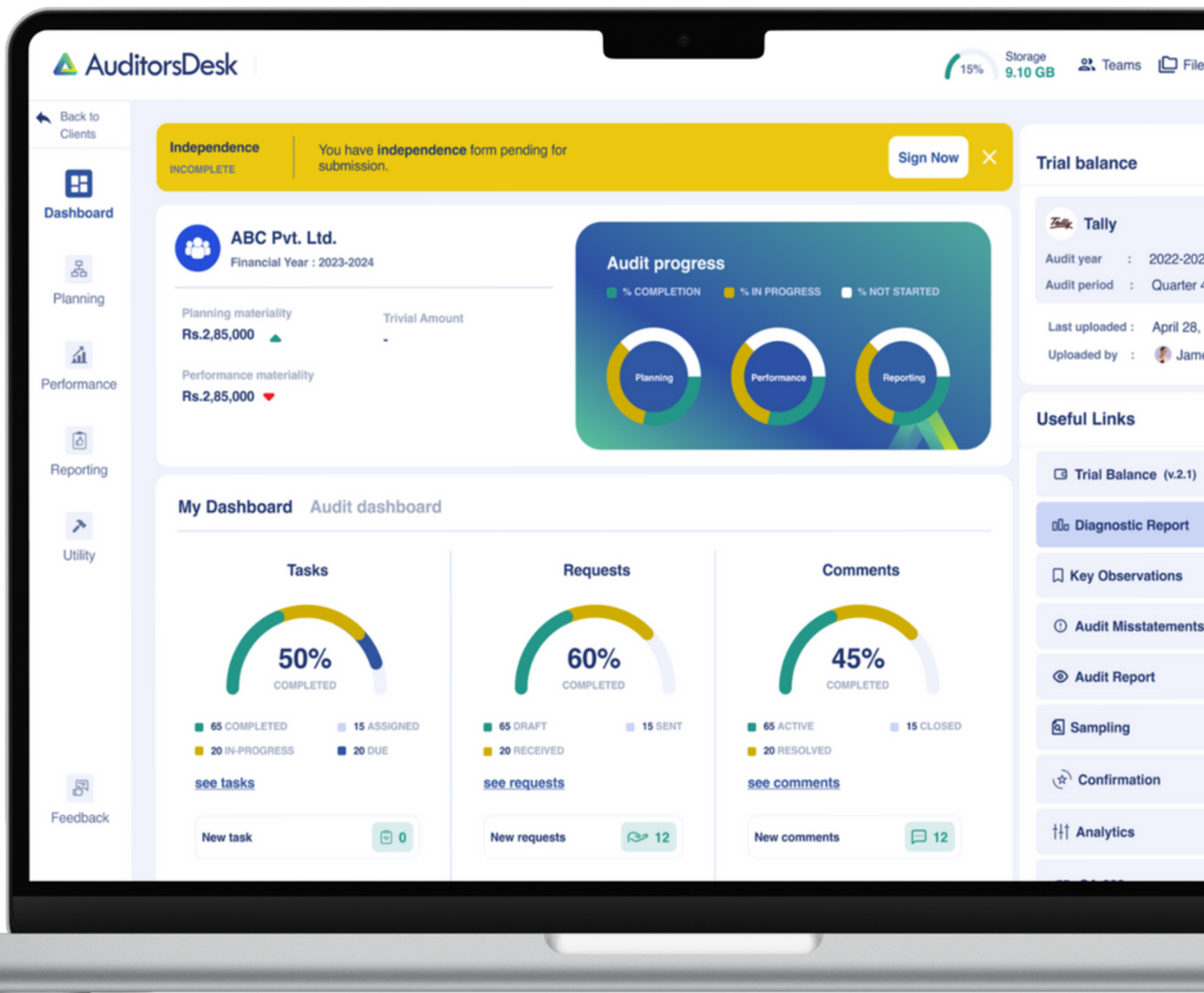


# STATUTORY AUDIT PLATFORM

AuditorsDesk ensures thorough and precise audits, minimizing oversight and covering key aspects like Planning, Performance and Reporting.



# WORKFLOW : KEY FEATURES



## Notifications

Notifications within the application to team members alerting them of tasks, review comments and approaching due dates for them

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## Handover

Handover feature allowing team members to shift tasks after completion for review by their peers and seniors.

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## Comments

Assign comments on on-going tasks to team members and receive responses from them automatically paired with original comments given to them.

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## Sign-offs

Members can mark a task as complete and put their sign offs that automatically captures the date, performer and reviewer of the task

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## File Tagging

Tag an uploaded audit evidence or workpaper with a keyword to enable members easily locate that file as well as reusing that file without re-uploading.

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## Role management

Role management of members can be easily handled within the application allowing admin users decide the role wise access rights for each user category

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## Allocation

Allocation of tasks among team members to ensure clear distribution of work at hand and also ease of monitoring of deliverables.

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## Library

Library of user added work steps, templates and attributes to help individual team members apply those at any other workspace as and when needed.

# AUDITING : KEY FEATURES



## Dashboard

A centralized overview displaying real-time audit progress, key metrics, and team performance.



## Software Integration

Our software seamlessly integrates with popular accounting software like Tally, SAP, and QuickBooks.



## Risk Assessment & Test of Controls

Evaluate the effectiveness of specific controls in place, ensuring they mitigate identified risks and safeguard financial data.



## Sample Selection

Perform sample selection using random, stratified, and MUS methods.



## Confirmations

Manage Accounts Receivable, Accounts Payable, Bank confirmations and MSME confirmations.



## Roll-Forwarding

Roll forward your audit working and permanent audit files from one audit period to another

# OUR PLANS

## BASIC

Performance oriented package for small teams

### What's Included

- ✓ Trial Balance uploading, reclassification and updating
- ✓ Standard audit Steps
- ✓ Risk assessment & Test of Controls
- ✦ Audit Status dashboard
- ✓ Conducting Small or Regular Audits
- ✓ Role based access (RBA) management with ability to assign dual roles to a user
- ✦ Task management through Handover, Sign-offs, Completion
- ✦ Upload audit evidences as paper profiles or proxy files also
- ✦ File Tagging, replacing and renaming within the workspace
- ✓ Limited Review Engagements
- ✓ Audit Sampling, Confirmations and Document Request System
- ✓ Independence Compliance
- ✓ Client Acceptance & Continuation Checklist
- ✓ Performing branch audits
- ✓ Audit Review & template based audit reporting.
- ✓ Roll-forwarding of key audit areas to next period from the previous period

## PREMIUM

Scalable solution with additional tools

### Everything in Basic, plus

- ✓ Access to BFSI Module for Central Stat. audit of Banking Cos, NBFC's and Insurance Cos.
- ✓ Access to Group Audit, Referred to Audits, Component Audits and Joint Audits module
- ✦ Risk assessment based Materiality & Sample Size recommendation
- ✦ Partner's Review Dashboard
- ✓ Advanced Engagement Diagnostic Report & Archival
- ✓ Advanced audit reporting module to publish audit opinions & reporting format management
- ✦ Automated reminders to clients for their pending confirmations and document requests.
- ✓ Embedded audit regulator (NFRA/PCAOB) key guides and observations
- ✦ Rolling Engagements to replicate the Interim workspace into Year End
- ✦ Automated mapping of audit assertions to accounts at Test of Details
- ✦ Flexibility to add multiple offices of the firm across different locations
- ✓ EQCR Checklist for Quality partner

## PROFESSIONAL

Control proprietary aspects of the application

### Everything in Premium, plus

- ✓ Detailed action oriented audit steps for the applicable financial reporting framework
- ✓ Embedded editable audit workpapers with relevant audit steps which can be worked upon & saved within the application
- ✦ AI Vouching assistant with Image recognition of PDF scanned invoices and reconciliation with accounting data
- ✦ Client Acceptance and continuation module with risk scoring based assessment
- ✦ Access to Opening balance verification and Analytical Review module
- ✦ Ability to accept token based digital signatures
- ✓ In-app communication with colleagues to share messages/files etc.,
- ✓ Single Sign On (SSO) to allow users to access Auditorsdesk with their existing organization ID's
- ✓ Multi Factor Authentication (MFA) with AWS Identity and Access Management (IAM)

## All plans include :

- **10 GB of cloud storage per user:** Each user in the firm is allocated 10GB of space for storing their files securely.
- **In-app support widget :** Users can easily raise support tickets through an integrated widget, ensuring swift assistance from our support team.
- **Over 50 embedded instructional videos :** Our application includes more than 50 embedded videos designed to assist users in navigating and utilizing various features.
- **Library of 10 conceptual video tutorials :** Users have access to a comprehensive library of 10 video tutorials that explain technical concepts related to auditing and demonstrate their application within the software.
- **Detailed user manuals with screenshots :** Comprehensive user manuals, complete with illustrative screenshots, offer a thorough understanding of the application's functionalities and usage.



# DATA ANALYSIS

Our Data Analysis tool is designed to streamline and enhance data management processes across various functions including Purchase, Sales, Vendor, Customer, Payroll, and Inventory.

## 1. Rule-Based Analysis

- **Exact Match & Fuzzy Match** : Identify exact or similar records in names, addresses, GST, PAN, and phone numbers to ensure data accuracy.
- **Duplicate & Invalid Data** : Detect and address duplicates and invalid entries in GST and PAN, maintaining data integrity.
- **Inventory Monitoring** : Track inventory levels, shelf life, and movements
- **Payroll and Attendance** : Identify ghost employees, track salary distributions, and ensure compliance with attendance and leave policies.
- **Transaction Validation** : Verify procurement processes, monitor delayed or backdated payments, and ensure proper documentation for purchase and sales orders.

## 2. AI/ML-Based Analysis :

- **K-Means Clustering & DB Scan** : Employ machine learning algorithms to cluster data for insightful segmentation, enhancing decision-making and pattern recognition.

## 3. Comprehensive Coverage :

- Our tool covers multiple datasets ensuring a robust testing environment for data validation. This simplifies complex data analysis tasks and also enhances operational efficiency by ensuring data consistency, identifying anomalies, and aiding in proactive decision-making.

# ADD ONS

## **Data Analysis**

Analyze Sales, Purchases, Inventory, Payroll and JE/GL Dumps of your audit client through our data analysis engine to point outliers for further assessment and investigation. Use our Rule based as well as AI enabled analytics to cut short your efforts for carrying out substantive tests within your audit

## **Live Editing**

Edit Word and Excel documents within the application without the need of downloading and re-uploading them

## **Send as You (SAY)**

Send emails from your firm's specific domain, not auditorsdesk.org.

## **MCA Integration**

Integrate with up to 7 years of historical filings available with Ministry of Corporate Affairs. We extract and sort data of financial as well as non financial nature (directors, shareholders, legal cases etc.,) in user friendly and easy to navigate way

## **Implementation Plan**

- Delivered by CA's/CPA's/ACCA's
- 40 hours of training
- 5 sessions of 1 hour each post classroom training for queries
- Conducting mock engagement and dummy engagement with the team



# Contact Us



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